

ST108: Monthly Enforcement Action Summary

September 2013

Effective June 17, 2013, the Alberta Energy Regulator (AER) succeeded the Energy Resources Conservation Board (ERCB).

For questions, contact AER Inquiries at 1-855-297-8311 or inquiries@aer.ca.

Alberta Energy Regulator
ST108: Monthly Enforcement Action Summary, September 2013

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Introduction

This report summarizes monthly information for September 2013 on any High Risk Enforcement Action, High Risk Enforcement Action (Persistent Noncompliance), High Risk Enforcement Action (Demonstrated Disregard), High Risk Enforcement Action (Failure to Comply), Low Risk Enforcement Action (Global Refer or AER Order), or Legislative/Regulatory Enforcement issued against a licensee.

The table below summarizes the enforcement actions issued.

Enforcement Action Summary: September 2013

Monthly Enforcement Action Summary					
Compliance Category*	High Risk Enforcement Actions	High Risk Enforcement Actions (Persistent Noncompliance)	High Risk Enforcement Actions (Demonstrated Disregard)	High Risk Enforcement Actions (Failure to Comply)	Low Risk Enforcement Actions (Global Refer or AER Order)
Facilities Technical	2	0	0	0	0
Participant Involvement	1	0	0	0	0
Pipelines/Pipeline Installations Technical	1	0	0	0	0
Wells Technical	5	0	0	0	0
Coalbed Methane/Control Wells	1	0	0	0	0
Commingling	1	0	0	0	0
Noncompliance with Liability Management Program Requirements	0	0	0	0	59
Glycol Dehydrator Benzene Emissions	2	0	0	0	0
Drilling Operations Field Inspections/Investigations	1	0	0	0	0
Drilling Waste Inspections/Investigations	1	0	0	0	0
Oil Facilities Field Inspections/Investigations	3	0	0	0	0
Gas Facilities Field Inspections/Investigations	1	0	0	0	0
Well Site Inspections Field Inspections/Investigations	2	0	0	1	0
Pipelines Field Inspections/Investigations	10	0	0	0	0

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Legislative/Regulatory Enforcement Actions	
Corporate Status	1

Total Enforcement Actions Issued	
High Risk Enforcement Actions	31
High Risk Enforcement Actions (Persistent Noncompliance)	0
High Risk Enforcement Actions (Demonstrated Disregard)	0
High Risk Enforcement Actions (Failure to Comply)	1
Low Risk Enforcement Actions (Global Refer or AER Order)	59
Legislative/Regulatory Enforcement Actions	1
Total Enforcement Actions Issued	92

[Compliance Categories](#) with no published enforcement actions issued during September 2013 have not been listed here.

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
1	Alston Energy Inc.	Field Operations/ Pipelines	Pressure control devices or pressure relief device installations unsatisfactory.	September 11, 2013	04-29-038-03W4 (P24405)	<p>Operations suspended.</p> <p>Licensee completed an annual inspection on the pipeline.</p> <p>Licensee updated its pipeline and operating manual.</p> <p>Licensee required to provide a plan to address the noncompliance and prevent a future occurrence.</p> <p>The AER conducted this inspection as a result of an operations inspection that was conducted on four licences and is to be counted as one inspection for enforcement escalation purposes.</p> <p>Compliance not yet achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
2	Alston Energy Inc.	Field Operations/ Pipelines	Internal corrosion control-no records in corrosive environment. Pressure control devices or pressure relief device installations unsatisfactory.	September 11, 2013	04-29-038-03W4 (P32319)	<p>Operations were not suspended as there was no immediate impact on public safety, the environment, resource conservation, or stakeholder confidence in the regulatory process.</p> <p>Licensee updated its pipeline and operating manual.</p> <p>Licensee required to provide a plan to address the noncompliance and prevent a future occurrence.</p> <p>The AER conducted this inspection as a result of an operations inspection that was conducted on four licences and is to be counted as one inspection for enforcement escalation reasons.</p> <p>Compliance not yet achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
3	Alston Energy Inc.	Field Operations/ Pipelines	Pressure control devices or pressure relief device installations unsatisfactory.	September 11, 2013	04-27-038-03W4	<p>Operations were not suspended as there was no immediate impact on public safety, the environment, resource conservation, or stakeholder confidence in the regulatory process.</p> <p>Licensee updated its pipeline and operating manual.</p> <p>Licensee required to provide a plan to address the noncompliance and prevent a future occurrence.</p> <p>The AER conducted this inspection as a result of an operations inspection that was conducted on four licences and is to be counted as one inspection for enforcement escalation reasons.</p> <p>Compliance not yet achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
4	Alston Energy Inc.	Field Operations/ Pipelines	Internal corrosion control-no records in corrosive environment. Pressure control devices or pressure relief device installations unsatisfactory.	September 11, 2013	04-29-038-03W4 (P41408)	<p>Operations were not suspended as there was no immediate impact on public safety, the environment, resource conservation, or stakeholder confidence in the regulatory process.</p> <p>Licensee updated its pipeline and operating manual.</p> <p>Licensee required to provide a plan to address the noncompliance and prevent a future occurrence.</p> <p>The AER conducted this inspection as a result of an operations inspection that was conducted on four licences and is to be counted as one inspection for enforcement escalation reasons.</p> <p>Compliance not yet achieved.</p>
5	Apache Canada Ltd.	Field Operations/ Pipelines	There is no documented monitoring or mitigation program in place and/or company is not following program.	September 25, 2013	04-28-037-03W4	<p>Operations suspended.</p> <p>Licensee implemented an internal corrosion program on all non-piggable pipelines and dead-legs.</p> <p>Licensee will conduct internal inspections on all high risk pipelines.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
6	Blackpearl Resources Inc.	Facilities Applications/ Facilities Technical	Failure to acquire the necessary facility licence prior to commencing site preparation, construction and/or operation.	September 5, 2013	01-34-055-02W4 02-04-056-02W4	<p>License or operation not suspended. The licensee took appropriate and prompt action to mitigate and correct this failure. This failure had no impact on public safety, the environment, or resource conservation.</p> <p>Applicant/licensee has completed the AER-directed corrective action.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>
7	Blaze Energy Ltd.	Field Operations/ Well Site Inspections	Failure to ensure that the licensee 24-hour emergency telephone number is posted by way of a conspicuous sign erected at the entrance to all licensee well and facility operations.	September 6, 2013	16-18-031-18W4	<p>Operations were not suspended as the well was already suspended.</p> <p>Licensee posted a sign with the 24-hour emergency telephone number.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
8	Bonavista Energy Corporation	Field Operations/ Drilling Operations	Driller does not possess a valid First-Line Supervisor's Blowout Prevention Certificate.	September 16, 2013	13-26-045-02W5	<p>Operations suspended.</p> <p>Licensee corrected the action immediately by bringing a driller on site with valid certification.</p> <p>Licensee will maintain copies of rig inspections where part of the inspection is well control certification.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>
9	Bonterra Energy Corp.	Field Operations/ Oil Facilities	Knockout drum of flare separator controls, equipment or design, does not meet requirement.	September 9, 2013	16-07-048-05W5	<p>Operations were not suspended as licensee committed to monitor the noncompliance until the flare knockout is equipped with the required equipment.</p> <p>Licensee installed an emergency safety device on the inlet separator and flare knockout drum.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
10	Canadian Natural Resources Limited	Technical Operations/ Glycol Dehydrator Benzene Emissions	Individual dehydrator(s) or site dehydrator benzene emissions over the limits.	September 5, 2013	01-24-052-20W5	Operations were not suspended because the audit was a review of 2012 operating data. Licensee is required to provide a plan to address the noncompliance and prevent future occurrences. Compliance not yet achieved.
11	Canadian Natural Resources Limited	Resources Applications/ Commingling	Commingling without approval	September 12, 2013	13-03-011-18W4	Licence or operation suspended. AER directed the licensee to provide the results of the self-audit and abandon the well by March 31, 2014. Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences. Compliance not yet achieved.
12	Canadian Natural Resources Limited	Facilities Applications/ Wells Technical	Failure to design the surface casing to meet all applicable requirements.	September 12, 2013	09-08-050-04W4	Licence or operation not suspended as noncompliance has been appropriately addressed or corrected prior to issuance of enforcement. Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences. Compliance achieved.

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
13	Canadian Natural Resources Limited	Facilities Application / Pipelines Technical	Failure to submit a pipeline/pipeline installation licence application as "pipelines/pipeline installations technical nonroutine" when required.	September 17, 2013	02-28-073-07W4	<p>Operation not suspended as the applicable AER requirements state that a suspension is not appropriate for this failure.</p> <p>Applicant/Licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>
14	Cec North Star Energy Ltd.	Facilities Applications/ Wells Technical	No rights to substance(s) for the intended formation(s).	September 25, 2013	12-04-092-23W5	<p>Licence or operation not suspended as noncompliance has been appropriately addressed or corrected prior to issuance of enforcement.</p> <p>Applicant/licensee has completed the AER-directed corrective action.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>
15	Conserve Oil 7 th Corporation	Resources Applications/ Control Wells	Violation of conditions of control well designation.	September 27, 2013	06-10-041-27W4	<p>Licence or operation suspended.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
16	Delphi Energy Corp.	Field Operations/ Drilling Waste	Storage system not physically closed within 18 months of rig release of first well that contributed drilling waste.	September 10, 2013	16-21-064-11W6	<p>Operations were not suspended as the well was already suspended.</p> <p>Licensee cleaned up the site and closed the sump.</p> <p>Licensee updated its drilling program to include addressing lease conditions.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>
17	Enerstar Petroleum Corp.	Field Operations/ Pipelines	<p>Failure to notify appropriate AER field centre.</p> <p>Noncompliant with other AER requirements.</p> <p>Comment: Licensee is not a member of Alberta One-Call.</p>	September 19, 2013	13-22-011-15W4	<p>Operations suspended.</p> <p>Licensee obtained membership with Alberta One-Call.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>
18	Gear Energy Ltd.	Field Operations/ Gas Facilities	<p>Spill or release not contained/cleaned up.</p> <p>No notification of a reportable spill or release to AER.</p>	September 16, 2013	02-34-060-04W4	<p>Operations were not suspended as suspension would not remove the existing impact.</p> <p>Licensee removed the stain and sent to disposal.</p> <p>Licensee had land resurveyed and confirmed that the spill was not off lease.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
19	Harvest Operations Corp.	Facilities Applications/ Wells Technical	No rights to substance(s) for the intended formation(s).	September 13, 2013	07-19-058-02W4	<p>Licence or operation not suspended as noncompliance has been appropriately addressed or corrected prior to issuance of enforcement.</p> <p>Applicant/licensee has completed the AER-directed corrective action.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>
20	MOGL Corp.	Facilities Applications/ Participant Involvement	Incomplete public and/or industry personal consultation and notification prior to filing the application.	September 10, 2013	10-13-045-26W4	<p>Operation not suspended as the applicable AER requirements state that a suspension is not appropriate for this failure.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
21	Newalta Corporation	Field Operations/ Pipelines	<p>The status of crossing agreements is unsatisfactory.</p> <p>Marking of existing pipelines inside a controlled area is unsatisfactory.</p> <p>Hand excavation: Mechanical excavation takes place within 5 m of existing pipeline prior to hand exposure.</p> <p>Machine working within 60 cm without authorization from the owner of the existing crossing.</p> <p>Notification to the owner of the existing pipeline prior to ground disturbance was unsatisfactory.</p>	September 16, 2013	08-22-048-08W5	<p>Operations suspended.</p> <p>Licensee sent a memo outlining ground disturbance requirements to all operational staff and contractors.</p> <p>Licensee will ensure all individuals are trained and deemed competent prior to performing or supervising any ground disturbance activities.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>
22	NuVista Energy Ltd.	Facilities Applications/ Facilities Technical	Failure to meet the spacing requirements in the facility design.	September 17, 2013	13-35-068-08W6	<p>Licence or operation not suspended as there was no existing or potential impact on public safety, the environment, resource conservation, and/or stakeholder confidence.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
23	Progress Energy Canada Ltd.	Facilities Applications / Wells Technical	Failure to design the surface casing to meet all applicable requirements.	September 26, 2013	13-03-068-06W6 01-19-068-06W6	<p>License or operation not suspended as there was no existing or potential impact on public safety, the environment, resource conservation, and/or stakeholder confidence.</p> <p>Applicant/licensee is required to submit a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance not yet achieved.</p>
24	Regco Petroleum Ltd.	Field Operations/ Oil Facilities	<p>Failure to ensure that a call to the licensee 24-hour emergency telephone number initiates immediate action.</p> <p>Any H₂S off-lease odours.</p>	September 16, 2013	01-14-054-26W4	<p>Operations were not suspended as licensee immediately corrected the noncompliance.</p> <p>Licensee posted a sign with the 24-hour emergency number.</p> <p>Licensee cleaned the insert allowing the vapour recovery unit to operate more efficiently.</p> <p>Licensee is required to provide a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance not yet achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
25	Secure Energy Services Inc.	Field Operations/ Well Site Inspections	Spill or release not contained/cleaned up.	September 17, 2013	10-05-049-06W5	<p>Operations suspended.</p> <p>Licensee updated procedures to include proper systems to immediately contain and respond to similar events.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrence.</p> <p>Compliance achieved.</p>
26	Sekur Energy Management Corp.	Field Operations/ Pipelines	<p>Discontinued pipeline - not left in safe condition.</p> <p>No operations and maintenance procedures manual, or not followed.</p> <p>Cathodic protection system-not operations or not installed.</p>	September 23, 2013	09-35-034-08W4 (P36618)	<p>Operations suspended.</p> <p>Licensee abandoned the pipeline.</p> <p>Licensee has employed a third-party consultant to improve its regulatory procedures and practices.</p> <p>Licensee has developed a pipeline operating maintenance manual.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>This inspection is a result of an operations inspection that was conducted on three licences and is to be counted as one inspection for enforcement escalation reasons.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
27	Sekur Energy Management Corp.	Field Operations/ Pipelines	<p>Discontinued pipeline - not left in safe condition.</p> <p>No operations and maintenance procedures manual, or not followed.</p> <p>Cathodic protection system-not operations or not installed.</p>	September 23, 2013	09-35-034-08W4 (P37365)	<p>Operations suspended.</p> <p>Licensee abandoned the pipeline.</p> <p>Licensee has employed a third-party consultant to improve its regulatory procedures and practices.</p> <p>Licensee has developed a pipeline operating maintenance manual.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>This inspection is the result of an operations inspection that was conducted on three licences and is to be counted as one inspection for enforcement escalation purposes.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
28	Sekur Energy Management Corp.	Field Operations/ Pipelines	<p>Not left in safe condition.</p> <p>No operations and maintenance procedures manual, or not followed.</p> <p>Cathodic protection system-not operations or not installed.</p>	September 23, 2013	09-35-034-08W4 (P54682)	<p>Operations suspended.</p> <p>Licensee abandoned the pipeline.</p> <p>Licensee has employed a third-party consultant to improve its regulatory procedures and practices.</p> <p>Licensee has developed a pipeline operating maintenance manual.</p> <p>Licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>This inspection is the result of an operations inspection that was conducted on three licences and is to be counted as one inspection for enforcement escalation purposes.</p> <p>Compliance achieved.</p>
29	Spry2 Energy Inc.	Facilities Applications/ Wells Technical	Failure to acquire a mineral lease continuation – No agreement with Alberta Energy.	September 12, 2013	04-19-063-22W5	<p>Licence cancelled.</p> <p>Applicant/licensee provided a plan to address the noncompliance and prevent future occurrences.</p> <p>Compliance achieved.</p>

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High Risk Enforcement Action (HREA)¹

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
30	Spyglass Resources Corp.	Field Operations/ Oil Facilities	Decision tree or economic evaluation not completed or results not implemented for new, existing, and temporary flares, incinerators, and vents as required.	September 16, 2013	04-23-041-12W4	Operations suspended. Licensee completed economic evaluation. Licensee provided a plan to address the noncompliance and prevent future occurrences. Compliance not yet achieved.
31	Wrangler West Energy Corp.	Technical Operations/ Glycol Dehydrator Benzene Emissions	Individual dehydrator(s) or site dehydrator benzene emissions over the limits.	September 9, 2013	01-33-054-27W4	Operations were not suspended because the audit was a review of 2012 operating data. Licensee is required to develop and implement a plan to address the noncompliance and prevent future occurrences. Compliance achieved.

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High Risk Enforcement Action (Persistent Noncompliance)²

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
	None					

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High Risk Enforcement Action (Demonstrated Disregard)³

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
	None					

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High Risk Enforcement Action (Failure to Comply)⁴

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Response
1	Gateway Petroleum Inc.	Field Operations/ Well Site Inspections	Failure to ensure that a call to the licensee 24-hour emergency telephone number initiates immediate action.	September 4, 2013	15-18-041-27W4 (2 well licences)	<p>This is an escalation of a previous enforcement action issued on August 20, 2013.</p> <p>Operations were suspended.</p> <p>Global Refer status.</p> <p>Issuance of Closure Orders No. C 2013-50 and C 2013-51.</p> <p>The AER suspended the well licences.</p> <p>Licensee is required to provide a plan to address the noncompliance and prevent future occurrences.</p> <p>Licensee failed to comply. Abandonment Order No. AD 2013-97 was subsequently issued.</p> <p>One well abandoned by a working interest participant and the other well to be abandoned by the AER.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
1	339157 Alberta Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-56.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-57 was subsequently issued.</p>
2	935821 Alberta Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-57.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-57 and C 2013-58 were subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
3	ACC International Energy Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-58.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-60 was subsequently issued.</p>
4	Action Energy Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-59.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-61 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
5	Advantage Well Servicing Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-60.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-62 was subsequently issued.</p>
6	Anvil Geospatial Corporation	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-61.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
7	Black Rider Resources Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-62.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-83 and C 2013-84 were subsequently issued.</p>
8	Calver Resources Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-63.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-85 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
9	County Line Energy (Canada) Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-65.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Compliance not yet achieved.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
10	Cowboy Exploration Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	02-01-035-17W4 04-23-019-18W4 13-19-054-16W5	<p>This is an escalation of a previous enforcement action issued on July 17, 2013.</p> <p>Global Refer status.</p> <p>Issuance of Closure Order No. C 2013-46.</p> <p>The AER suspended the well licences.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Abandonment Order No. AD 2013-134 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
11	Crown Energy Technologies Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-66.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee has taken steps to lower its liabilities but did not provide a written explanation to the AER. Global Refer status is maintained against the licensee.</p>
12	Diaz Resources Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-67.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
13	Drake Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 6, 2013	Well licences 03-28-109-04W6 16-29-014-14W4 16-28-014-15W4 07-09-040-04W5 08-27-014-15W4 02-27-014-15W4 07-09-040-04W5 Pipeline licences 16-28-014-15W4 02-27-014-15W4 12-10-040-04W5	<p>This is an escalation of a previous enforcement action issued on July 26, 2013.</p> <p>Global Refer status.</p> <p>Issuance of Abandonment Orders No. AD 2013-61, AD 2013-62, AD 2013-63, AD 2013-64 and Closure/Abandonment Order No. AD 2013-65.</p> <p>Abandonment of the well and pipeline licences.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. The AER has sent its wells and pipelines to the Orphan Well Associated to be abandoned.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
14	Dreadnought Energy Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	Well licences 16-30-041-16W4 11-30-034-10W4 13-32-042-16W4 14-23-042-17W4 10-25-042-17W4 Facility licences 05-32-042-16-W4 09-25-041-17W4 16-30-041-16W4 03-01-042-17W4 14-23-042-17W4 01-27-042-17W4 Pipeline licences 11 locations	This is an escalation of a previous enforcement action issued on August 7, 2013. Global Refer status. Issuance of Closure Orders No. C 2013-44 and C 2013-45. Licensee is required to <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. Licensee failed to comply. Abandonment Orders No. AD 2013-131 and AD 2013-132 and Closure/Abandonment Order No. AD 2013-133 were subsequently issued.

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
15	Emerald Bay Energy Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 16, 2013	14 well licences	<p>This is an escalation of a previous enforcement action issued on July 7, 2013.</p> <p>Global Refer status.</p> <p>Issuance of Amended Closure Order No. C 2013-12A.</p> <p>Working interest participant applied for and received a regulator-directed transfer for one well licence.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. The AER has sent the other wells to the Orphan Well Association to be abandoned.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
16	E-T Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-68.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>
17	Ferrybank Resources Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-69.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit but did not provide an explanation to the AER. Global Refer status is maintained against the licensee.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
18	Foret Management Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-71.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-71 was subsequently issued.</p>
19	Golden Spike Energy Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-72.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-81 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
20	Goodland Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	31 well licences Facility licences 16-34-038-01W4 16-31-037-02W4	<p>This is an escalation of a previous enforcement action issued on July 17, 2013.</p> <p>Global Refer status.</p> <p>Issuance of Closure Orders No. C 2013-53 and C 2013-54.</p> <p>AER suspended the well and facility licences.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee has taken steps to lower its liabilities but did not provide a written explanation to the AER. Global Refer status is maintained against the licensee.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
21	Goose River Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-73.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-88 and C 2013-89 were subsequently issued.</p>
22	GP Resources Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-74.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-90 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
23	Guardian Exploration Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	05-34-044-27W4 07-34-044-27W4	<p>This is an escalation of a previous enforcement action issued on July 17, 2013.</p> <p>Global Refer status.</p> <p>Issuance of Closure Order No. C 2013-52.</p> <p>AER suspended the well licences.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
24	Highvale Operating Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-75.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>
25	Hillsdale Drilling Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-76.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit but did not provide an explanation to the AER. Global Refer status is maintained against the licensee.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
26	Hua Wei Oil & Gas Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-77.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>
27	Kanex Energy Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-79.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-72 and C 2013-73 were subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
28	Kerrisdale Resources Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-96.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-95 was subsequently issued.</p>
29	KinMerc Oil & Gas Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-80.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
30	Land Petroleum International Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-81.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-91 and C 2013-92 were subsequently issued.</p>
31	Legend Energy Canada Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-82.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-93 and C 2013-94 were subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
32	Lockwood Resources Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-78.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee transferred its well licences but did not provide a written explanation to the AER.</p> <p>Compliance not yet achieved.</p>
33	Luxor Oil and Gas Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-110.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Compliance not yet achieved. Licensee failed to comply. Closure Orders No. C 2013-67 and C 2013-68 were subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
34	Midlake Oil & Gas Limited	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-109.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>
35	Monarch Energy Limited	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 13, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-108.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-79 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
36	Murelle Enterprises Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-107.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>
37	Newcrest Resources Ltd.	Liability Management/ Noncompliance with the Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	13-24-013-13W4	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-106.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-82 was subsequently issued.</p>

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Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
38	Nomad Exploration Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 13, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-105.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-74 was subsequently issued.</p>
39	Nordic Oil & Gas Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-104.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-77 and C 2013-78 were subsequently issued.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
40	Onstad Energy Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-103.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-65 and C 2013-66 were subsequently issued.</p>
41	Optimal Resources Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-102.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-63 and C 2013-64 were subsequently issued.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
42	Pachanga Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-101.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee paid its security deposit in full and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
43	Path Resources Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-100.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit but did not provide a written explanation to the AER. Global Refer status is maintained against the licensee.</p> <p>Compliance not yet achieved.</p>
44	Petro Energy Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-99.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-80 was subsequently issued.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
45	Petroleum Processors Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-98.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit but did not provide a written explanation to the AER. Global Refer status is maintained against the licensee.</p> <p>Compliance not yet achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
46	Quattro Exploration and Production Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	24 well licences 3 facility licences	<p>Global Refer status.</p> <p>Issuance of Closure Orders No. C 2013-35 and C 2013-36.</p> <p>AER suspended the well and facility licences.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Abandonment Orders No. AD 2013-89 and AD 2013-90 and Closure/Abandonment Order No. AD 2013-91 were subsequently issued.</p> <p>Licensee submitted full payment of its security deposit and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
47	Redeye Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-70.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-76 was subsequently issued.</p>
48	Richard J. Churchill Limited	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-94.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-96 was subsequently issued.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
49	Richards Oil & Gas Limited	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-93.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>
50	Rio Petro Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-92.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
51	Sabanero Energy Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-91.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit but did not provide a written explanation to the AER. Global Refer status is maintained against the licensee. ☐</p>
52	Saffron Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-90.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Order No. C 2013-97 was subsequently issued.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
53	Sedna Oil and Gas Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-89.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. Closure Orders No. C 2013-98 and C 2013-99 were subsequently issued.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
54	Sunrise Energy Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 19, 2013	N/A – Against the licensee	<p>This is an escalation of a previous enforcement action issued on July 18, 2013.</p> <p>Global Refer status.</p> <p>Issuance of Abandonment Orders No. AD 2013-85 and AD 2013-87 and Closure/Abandonment Order No. AD 2013-88.</p> <p>Abandonment of the well, facility, and pipeline licences.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee failed to comply. The AER has sent its facilities to the Orphan Well Association to be abandoned.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
55	Tallgrass Energy Corp.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 17, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-111.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Compliance not yet achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
56	Transaction Oil and Gas Ventures Inc.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Abandonment Orders No. AD 2013-73, AD 2013-74, AD 2013-75, AD 2013-76, AD 2013-77, AD 2013-78, AD 2013-79, AD 2013-80, AD 2013-81, AD 2013-82, and AD 2013-83 and Closure/ Abandonment Order No. AD 2013-84.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted updated working interest participant information to the AER. The AER is providing an extension to allow additional time for abandonment.</p> <p>Compliance not yet achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
57	Trooper Oil & Gas Limited	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-87.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit but did not provide a written explanation to the AER. Global Refer status is maintained against the licensee.</p>
58	Wapiti Waste Management Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-84.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Licensee submitted full payment of its security deposit and provided a written explanation to the AER.</p> <p>Compliance achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Low Risk Enforcement Action (Global Refer or AER Order)⁵

#	Licensee	AER Group/ Compliance Category	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow-up
59	Winter Petroleum Ltd.	Liability Management/ Noncompliance with Liability Management Program Requirements	Failure to pay security deposit.	September 18, 2013	N/A – Against the licensee	<p>Global Refer status.</p> <p>Issuance of Miscellaneous Order No. MISC 2013-83.</p> <p>Licensee is required to</p> <ul style="list-style-type: none"> • pay the security deposit and • provide a written explanation acceptable to the AER addressing the failure to respond and detailing steps to prevent future occurrences. <p>Additional time provided to the licensee to provide its security deposit.</p> <p>Compliance not yet achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

Legislative/Regulatory Enforcement Action⁶

#	Licensee	Noncompliance	Noncompliant Event	Date of Enforcement	Location	AER Action(s) and Licensee Follow up
1	DR Four Beat Energy Corp.	Corporate Status	Failure to be registered with an Active status under the <i>Business Corporations Act</i> .	September 5, 2013	14-06-001-11W4 07-17-001-11W4	<p>Global Refer status.</p> <p>Issuance of Closure/Abandonment Order No. AD 2013-66.</p> <p>Suspension, closure, and abandonment of the pipeline licence.</p> <p>Licensee is required to complete one of the following:</p> <ul style="list-style-type: none"> • transfer the licensed property, • abandon the licensed property, or • provide confirmation to the AER that the licensee is registered with and has an Active status on the Alberta Corporate Registries System. <p>Compliance not yet achieved.</p>

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.

Endnotes

¹ **Directive 019—High Risk Enforcement Action (HREA)**

In accordance with *Directive 019: Compliance Assurance*, the AER issues an HREA against a licensee if it identifies a high risk noncompliant event(s). To address an HREA, the licensee must immediately correct and address the high risk noncompliant event(s) identified by the AER; if necessary, suspend operations, either partially or fully and when safe to do so, to remove the existing or potential impact or hazard resulting from the noncompliance (any suspension of operations must not occur if it will increase the impact on or risk to either the public or the environment; compliance must be achieved prior to start-up); develop and implement a written action plan within 60 calendar days or in the time specified by the AER group (a licensee may also be required to submit the written action plan and meet with the AER group to discuss both the action plan and the licensee's compliance history); and notify the AER group that it has corrected and addressed the high risk noncompliance. The AER may also apply or require one or more of the following: noncompliance fees; self-audits or inspections; increased audits or inspections; partial or full suspension of operations (until the licensee corrects/addresses the noncompliance); suspension and/or cancellation of the permit, licence, or approval.

² **Directive 019—High Risk Enforcement Action (HREA) (Persistent Noncompliance)**

In accordance with *Directive 019: Compliance Assurance*, the AER issues an HREA (Persistent Noncompliance) against a licensee with an unacceptable rate, ratio, percentage, or number of noncompliant events, either in the same or in different compliance categories. To address an HREA (Persistent Noncompliance), the licensee must immediately correct and address the high risk noncompliant event(s) identified by the AER; if necessary, suspend operations, either partially or fully and when safe to do so, to remove the existing or potential impact or hazard resulting from the noncompliance (any suspension of operations must not occur if it will increase the impact on or risk to either the public or the environment; compliance must be achieved prior to start-up); develop, implement, and submit a written action plan within 30 calendar days or in the time specified by the AER group (the action plan should address the root causes of any previous noncompliance(s) and detail how the licensee will prevent future noncompliant events); meet with the AER group to discuss the high risk noncompliant event(s), the licensee's compliance history, and the written action plan; and notify the AER group that it has corrected and addressed the high risk noncompliance(s). The AER may also apply or require one or more of the following: noncompliance fees; self-audits or inspections; increased audits or inspections; partial or full suspension of operations (until the licensee corrects and addresses the noncompliance[s]); suspension and/or cancellation of the permit, licence, or approval.

A licensee must operate in compliance for a period of 60 calendar days (or in a time specified by the AER) in the same compliance category before achieving overall compliance.

³ **Directive 019—High Risk Enforcement Action (HREA) (Demonstrated Disregard)**

In accordance with *Directive 019: Compliance Assurance*, the AER issues an HREA (Demonstrated Disregard) against a licensee if the licensee knows or should know about a high risk noncompliant event(s) but does not act to correct and address the noncompliance(s). The AER assesses demonstrated disregard on a case-by-case basis. To address an HREA (Demonstrated Disregard), the licensee must immediately correct and address the high risk noncompliant event(s) identified by the AER; if necessary, suspend operations, either partially or fully and when safe to do so, to remove the existing or potential impact/hazard resulting from the noncompliance(s) (any suspension of operations must not occur if it will increase impact on or risk to either the public or the environment; compliance must be achieved prior to start-up); develop, implement, and submit a written action plan (the action plan should address the root cause(s) of the noncompliant event(s) and detail how the licensee will prevent future noncompliant events); meet with the AER group to discuss the high risk noncompliant event(s), the licensee's compliance history, and the written action plan; and notify the AER group that it has corrected and addressed the high risk noncompliance(s). The AER may also apply or require one or more of the following: noncompliance fees; self-audits or inspections; increased audits or inspections; partial or full suspension of operations (until the licensee corrects and addresses the noncompliance[s]); suspension and/or cancellation of the permit, licence, or approval; issuance of an order (Miscellaneous, Closure, or Abandonment); "Refer" status (focused or global).

A licensee must operate in compliance for a period of 180 calendar days (or in the time specified by the AER) in the same compliance category before achieving overall compliance.

⁴ **Directive 019—High Risk Enforcement Action (HREA) (Failure to Comply)**

In accordance with *Directive 019: Compliance Assurance*, the AER issues an HREA (Failure to Comply) against a licensee if it failed to comply with the direction of the AER in an AER Notice of High Risk Noncompliance, HREA, HREA (Persistent Noncompliance), HREA (Demonstrated Disregard), or a previous HREA (Failure to Comply). To address an HREA (Failure to Comply), the licensee must immediately correct and address the high risk noncompliant event(s) identified by the AER; if necessary, suspend operations, either partially or fully and when safe to do so, to remove the existing or potential impact or hazard resulting from the noncompliance(s) (any suspension of operations must not occur if it will increase the impact on or risk to the public or the environment; compliance must be achieved prior to start-up); develop, implement, and submit a written action plan (the action plan should address the root cause(s) of the noncompliant event(s) and detail how the licensee will prevent future noncompliant events); meet with the AER group to discuss the high risk noncompliant event(s), the licensee's compliance history, and the written action plan; and notify the AER group that it has corrected and addressed the high risk noncompliance(s). The AER may also apply or require one or more of the following: noncompliance fees; self-audits or inspections; increased audits or inspections; partial or full suspension of operations (until the licensee corrects and addresses the noncompliance); suspension and/or cancellation of permit, licensee, or approval; issuance of an Order (Miscellaneous, Closure, or Abandonment); "Refer" status (focused or global).

A licensee must operate in compliance for a period of 180 calendar days (or in the time specified by the AER) in the same compliance category before achieving overall compliance.

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to [inquiries@aer.ca](mailto:inquiries@ aer.ca).

⁵ **Directive 019—Low Risk Enforcement Action (LREA) (Global Refer or AER Order)** In accordance with *Directive 019: Compliance Assurance*, the AER issues an LREA (Global Refer) against a licensee if the licensee failed to comply with the direction of the AER in a Notice of Low Risk Noncompliance. To address an LREA, the licensee must immediately correct and address the low risk noncompliant event(s) identified by the AER; notify the AER group that it has addressed the low risk noncompliant event(s); and develop, implement, and submit a written action plan within 30 calendar days or in the time specified by the AER group; and meet with the AER group to discuss the low risk noncompliant event(s), the licensee's compliance history, or the written action plan. The AER may also apply or require one or more of the following: noncompliance fees, partial or full suspension, suspension and/or cancellation of permit, licence, or approval; issuance of an order (Miscellaneous, Closure, or Abandonment); "Refer" status (focused or global).

⁶ **Legislative/Regulatory Enforcement Action**

The AER issues a Legislative/Regulatory Enforcement Action for noncompliance with AER requirements that fall outside the administration of *Directive 019: Compliance Assurance*. The AER relies upon its statutory and regulatory authority to initiate the enforcement action against the licensee by applying a Refer status against the licensee and possibly issuing an order (Closure, Miscellaneous, and Abandonment). The AER generally initiates these enforcement actions because of a mineral or surface lease expiry, an environmental and public safety concern, or a failure to demonstrate the right to the purpose of the wellbore, the right to access the surface, or working interest ownership in the property.

Refer Status—Focused or Global

This status indicates a licensee's inability or unwillingness to comply. If applied against a licensee, the AER will consider the status when deciding to approve or deny future and pending applications and may apply conditions for continued operation.

For further information on AER enforcement actions, see *Directive 019: Compliance Assurance* or contact the AER Compliance Assurance Section at compliancecoordination@aer.ca.

If you require further information, contact the AER Customer Contact Centre by telephone at 1-855-297-8311 (toll free) or by e-mail to inquiries@aer.ca.